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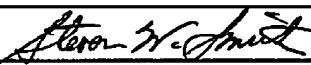
TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/807,551	
	Filing Date	03/23/2004	
	First Named Inventor	Kimberly A. Snider	
	Art Unit	3624	
	Examiner Name		
Total Number of Pages in This Submission	13	Attorney Docket Number	LTRM002US0

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Statement Under 37 CFR 3.73(b)
Remarks Preliminary Amendment		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Steven W. Smith, Attorney		
Signature			
Printed name	Steven W. Smith		
Date	03/29/2005	Reg. No.	36,684

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Attorney Docket No. LTRM002US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Kimberly A. Snider et al. §

Group Art Unit: 3624

Serial No: 10/807,551 §

Examiner: Unknown

Filed: March 23, 2004 §
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§For: SYSTEM AND METHOD OF MANAGING A POSITION
IN FINANCIAL STOCK INVESTMENTSVia Facsimile - 703-872-9306Mail Stop AMENDMENT
Commissioner for Patents
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Date: March 29, 2005


Steven W. Smith**PRELIMINARY AMENDMENT**

Please enter the following preliminary amendment in the above-identified patent application prior to the substantive examination thereof.

SUMMARY OF AMENDMENTS

1. The title has been amended on page 2 of this paper.
2. Claims 1-11 have been canceled without prejudice.
3. Claims 12-31 have been added.
4. A complete list of pending claims begins on page 3 of this paper.
5. Remarks begin on page 10 of this paper.

Preliminary Amendment - PAGE 1 of 10